

DATE: March 26, 2019

CIRCULARS

RBI

- Assignment of SLBC/UTLBC Convenorship – State of Gujarat and Union Territories of Daman & Diu and Dadra & Nagar Haveli
- Deferral of Implementation of Indian Accounting Standards (Ind AS)
- Compilation of R>Returns: Reporting under FETERS
- Export and Import of Indian Currency
- Reporting and Accounting of Central Government Transactions of March 2019

NSE

- Settlement Calendar for Offer for Sale of shares of ICICI Prudential Life Insurance Company Limited
- Adjustment of Futures and Options contracts in the security IDEA
- Mock trading on Saturday, March 30, 2019- No new version release
- Proposed Offer for Sale of ICICI Prudential Life Insurance Company Limited
- Revised Settlement Calendar for Buyback offer under Tender Offer scheme by HEG Limited
- CM - Multiple Settlements scheduled on April 03, 2019
- Enablement - Uniform membership structure across segments

- Settlement Calendar for Buyback offer under Tender Offer scheme by Tech Mahindra Limited
- Applicability of Additional Surveillance Measure (ASM)
- SEBI Order in the matter of unregistered Investment Advisory through websites in respect of Mr. Rishabh Jain ,Mr. Ubaidur Rahman,Mr. G. Kadar Hussain
- Uniform membership structure across segments
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Connectivity - POP network changes - Surrender of leased line
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)

BSE

- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Trading Holiday on account of General Elections (Lok Sabha) for constituencies in Mumbai on April 29, 2019- Equity Segment
- Trading Holiday on account of General Elections (Lok Sabha) for constituencies in Mumbai on April 29, 2019- Equity Derivatives Segment
- Admission of Member in Cash Segment
- Uniform membership structure across segments
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”
- Order in the matter of unregistered Investment Advisory through website
- Clarification to Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants
- Operational Guidelines for Clearing and Settlement & Risk Management for BSE Equity Cash Segment under the Uniform Membership (TM-CM) structure in Equity Cash Segment
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

MCX

- Mandatory requirement of NISM-Series-III A Certification for Compliance officer
- Discontinuation of Serial interface Point to Point Leased lines- Extension of Time
- System Audit of Trading member
- Framework for utilization of regulatory fee foregone by SEBI
- Transaction Fees on Options Contracts
- Commencement of Silver February 2020 Options Contract with Silver (30 Kilograms) Futures as underlying
- Commencement of Futures Trading in Two New Contracts
- Clarification to Cyber Security & Cyber Resilience framework for Members
- Discontinuation of “Trading Hub and RoUter Application” (THRU) application

SEBI

- Adjudication Order in respect of Pee Dee Kapur Stock & Securities Ltd.
- Adjudication Order in respect to Anitha Prabhu in the matter of Dealings in the Illiquid stock options at BSE
- Adjudication Order in respect to Acira Consultancy Private Ltd. in the matter of Dealings in the Illiquid stock options at BSE
- Adjudication Order in respect to Arc Finance Ltd. in the matter of Dealings in the Illiquid stock options at BSE
- Adjudication Order in respect to Ansuya M Trivedi in the matter of Dealings in the Illiquid stock options at BSE
- Adjudication Order in respect of Mr. G Ramaraja in the matter of Ravi Kumar Distilleries Limited
- Adjudication Order in respect of M/s SVL Limited in the matter of M/s Shriram EPC Ltd.
- Valuation of money market and debt securities

- [Adjudication Order dated March 22, 2019, in respect of M/s. ISD Industries Private Limited in the matter of Its dealings in Illiquid Stock Options at BSE](#)
- [Adjudication order in respect of N Ramakrishna Rao](#)
- [Exemption Order in the matter of Union Bank of India](#)
- [Adjudicating Order in respect of Vimladevi Shyamsunder Khetan in the matter of dealings in illiquid stocks options at BSE](#)
- [Settlement Order in the matter of M/s TR Capital Ltd](#)
- [Adjudication order against Purbanchal Prestressed Ltd](#)
- [Adjudication order against Precel Solutions \(P\) Ltd](#)
- [Settlement Order in respect of Mr. Rajat Narain and Ms. Amla Narain in the matter of CRISIL Limited](#)
- [Order in the matter of Bhoruka Aluminium Limited](#)
- [Adjudication Order in the matter of Artech Powere Products Limited](#)
- [Adjudicating Order in respect of Yudhbir Chhibbar in the matter of dealings in illiquid stocks options at BSE](#)
- [Adjudicating Order in respect of Umapati Oil Mill and Ginning Factory in the matter of dealings in illiquid stocks options at BSE](#)
- [Adjudication Order in respect of Kasturbhai Mayabhai Pvt. Ltd. in the matter of Dealing in Illiquid options on the BSE.](#)
- [Framework for Utilization of Regulatory Fee Foregone by SEBI](#)
- [Adjudication Order in the matter of Mefcom Agro Industries Limited](#)
- [Adjudication order in the matter of Le -waterina Resorts and Hotels Ltd](#)
- [Order in the matter of unregistered investment advisory through websites](#)
- [Adjudication Order in respect of 4 entities in the matter of Kushal Tradelink Ltd.,](#)
- [Adjudication order in respect of Nadupalli Sridhar](#)
- [Adjudication Order in the matter of Anugrah Stock & Broking Pvt. Ltd.](#)
- [Settlement Order in respect of GMM Pfaudler Limited and Pfaudler Inc. in the matter of GMM Pfaudler Limited](#)
- [Final Order in the matter of Moryo Industries Ltd.](#)
- [Order in the matter of Fortis Healthcare Limited](#)

- [Adjudication Order in respect of Bhikshu Portfolio Private Limited in the matter of dealing in illiquid Stock Options at BSE](#)
- [Adjudication Order in respect of Bhaijee Portfolio Ltd in the matter of dealing in illiquid Stock Options at BSE](#)
- [Adjudicating Order in respect of Vimal Vadilal Shah \(HUF\) in the matter of dealings in illiquid stocks options at BSE](#)
- [Adjudication order in respect of RPC Commercial Private Limited in the matter of Trading in Illiquid Stock Options on BSE](#)
- [Adjudicating Order in respect of Thakkar Kanaiyalal Shivram Bhai in the matter of dealings in illiquid stocks options at BSE](#)

NSDL

- [2019-0001-Policy-DDP-SEBI Circular dated March 12, 2019](#)
- [2019-0001-Policy-DDP-Review of Investment by Foreign Portfolio Investors \(FPI\) in Debt Securities](#)
- [2019-0015-Policy-SEBI Circular on Clarification to Cyber Security & Cyber Resilience framework for Depository Participants](#)
- [2019-0015-Policy-SEBI Circular dated March 15, 2019](#)

NCDEX

- [Extension of Tick by Tick Trading Market Data for Derivatives segment – Corrigendum](#)
- [Cyber Security & Cyber Resilience framework for Stock Brokers/Depository Participants](#)
- [Extension of Tick by Tick Trading Market Data for Derivatives segment](#)
- [Modifications in Contract Specifications of Turmeric \(TMCFGRNZM\)](#)
- [Application for Surrender of membership](#)
- [Final Settlement Price of Sugar \(M Grade\) contract expired on March 20 2019](#)

- Modification in contract launch calendar – Options on Guarseed 10 MT Futures – Availability of July 2019 and August 2019 expiry contract
- Settlement Price of Options contract expired on March 08 2019

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